

1 **ASTRINGENCY REDUCTION IN RED WINE BY WHEY PROTEINS**

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10

11 **Abstract**

12 Whey is a by-product of cheese manufacturing and therefore investigating
13 new applications of whey proteins will contribute towards the valorisation
14 of whey and hence waste reduction.This study shows for the first time a
15 detailed comparison of the effectiveness of gelatin and β -lactoglobulin(β -
16 Lg) as fining agents. Gelatin was more reactive than whey proteins to
17 tannic acid as shown by both the astringency method (with ovalbumin as a
18 precipitant) and the tannins determination method (with ME as a
19 precipitant). The two proteins showed similar selectivity for polyphenols
20 but β -Lg did not remove as much catechin.The fining agent was removed
21 completely or to a trace levelafter centrifugation followed by filtration
22 which minimises its potential allergenicity. In addition, improved
23 understanding of protein-tannin interactions was obtained by fluorescence,
24 size measurement and isothermal titration calorimetry (ITC).Overall this

25 study demonstrates that whey proteins have the potential of reducing
26 astringency in red wine and can find a place in enology.

27

28 Keywords: beta-lactoglobulin, astringency, wine, gelatin, ITC, fluorescence,
29 tannins

30

31 **1. Introduction**

32 Red wine is a beverage that is rich in phenolic compounds, mainly tannins, but
33 also other smaller molecular weight phenolics which have demonstrated to
34 have many health benefits due to several biological activities, such as
35 antioxidant, cancer preventing and anti-inflammatory activity (Middleton,
36 Kandaswami, & Theoharides, 2000). Tannins are high molecular weight (over
37 500 Da) polyphenols, which have the ability of precipitating with gelatin and
38 other proteins in solution. These proteins are in general rich in proline. The
39 tannins occurring in wine are responsible for the undesired sensorial
40 properties, specially astringency. Astringency is a rough or drying mouth-feel
41 that causes a puckering sensation, associated with interactions between
42 polyphenols from wine and certain proteins from saliva. Mainly the proline
43 rich proteins (PRPs) from saliva are the ones responsible for this
44 sensation (Mehansho, Butler, & Carlson, 1987). According to Charlton et al.,
45 (Charlton, Baxter, Khan, Moir, Haslam, Davies, et al., 2002) and as described
46 by Dinnella et al (Dinnella, Recchia, Fia, Bertuccioli, & Monteleone,
47 2009) binding and precipitation of polyphenols by PRPs involves a multi-step

48 mechanism. At first, reversible hydrophobically-driven binding of the
49 polyphenol to the protein takes place to give a soluble complex. Then, more
50 polyphenol is added and cross-linking of peptides occurs, the complex
51 becomes insoluble, and, finally, further aggregation (phase separation) of the
52 insoluble complexes occurs. The intensity of this sensation depends on the
53 polyphenolic composition and concentration in wine, as well as on the palate
54 of the individual tasting it. Some proteins, such as casein, gelatin, egg
55 albumin, and isinglass are known to interact with phenolic compounds in a
56 similar way to saliva proteins(Guerrero, Smith, & Bindon, 2013), improving
57 clarity, sensory characteristics and aging capacity of wines.The model for
58 polyphenol-protein interactions described aboveis also valid to explain
59 interactions between tannins and these proteins.

60 When a protein treatment is applied to wine, care must be taken for the
61 molecules used to be selective.Otherwise, most of the beneficial properties
62 from wine phenols could be lost in the process. Moreover, it is important to
63 reduce astringency to a limit where it does not result in extensive precipitation
64 of polyphenols.It is desired that some mild astringent sensation remains, for a
65 winemaker should have the ability to modulate astringency by adjusting the
66 balance accordingly. For all these reasons, the study of the protein-phenolic
67 interactions is very important.

68 Whey obtained from cheese manufacturehas low commercial value and
69 represents an alternative for the obtention of proteins that can interact with
70 phenolics.The addition of milk to tea has shown to result in complexation of
71 milk proteins and tea catechins without impairing the bioavailability of the
72 catechins and improving its sensorial properties(Kanakakis, Hasni, Bourassa,

73 Tarantilis, Polissiou, & Tajmir-Riahi, 2011; Ye, Fan, Xu, & Liang, 2013)..
74 Whey proteins and in particular β -LG (the major whey protein) has also
75 shown to interact with polyphenols in tea (Kanakis, Hasni, Bourassa,
76 Tarantilis, Polissiou, & Tajmir-Riahi, 2011) and to complex with particular
77 polyphenols (von Staszewski, Jara, Ruiz, Jagus, Carvalho, & Pilosof,
78 2012)however, it has never been applied to the reduction of red wine
79 astringency. β -LG is a small globular protein of 18350 Da which possess a
80 hydrophobic pocket that shows particular affinity for hydrophobic molecules.
81 β -LGhas some technological advantages for its application as a fining agent:
82 inexpensive, food grade and non-toxic material, capable of solubilizing and
83 protecting hydrophobic biologically active molecules in aqueous media whilst
84 retaining the sensory properties.

85 Tannin-protein interactions have been investigated by a wide range of
86 physicochemical techniques(Frazier, Papadopoulou, & Green, 2006; McRae,
87 Falconer, & Kennedy, 2010). In this work we used isothermal titration
88 calorimetry (ITC) to gain better understanding of the affinity of β -LG and
89 gelatin for tannic acid, a model tannin from wine. ITC provides information
90 not only on thermodynamic parameters and the strengths of the tannin-protein
91 interactions but also on the stoichiometry of the resulting complex. The
92 conformation of the protein is of critical importance as random coil proteins
93 have a higher interaction with tannins than globular proteins. Therefore, the
94 comparison of β -LG with a widely used random coiled protein, gelatin, is
95 included.

96 The aim of this work was to assess the efficiency of reduction of astringency
97 of whey proteins particularly, β -LG and a mixture of β -

98 LGandcaseinomacropeptides (CMP)and to compare them againstgelatin. The
99 whey samples were produced by a combination of ion exchange and
100 microfiltration following a method developed in our group(Welderufael,
101 Gibson, & Jauregi, 2012). Astringency was assessed by an analytical method
102 developed by Llaudy et al (Llaudy, Canals, Canals, Rozes, Arola, & Zamora,
103 2004)which relies on the precipitation of tannins by ovalbumin. They found
104 good correlation between this analytical method and the sensory evaluation of
105 astringency in a range of wines. Further investigation of the tannin-protein
106 interactions was also carried out by fluorescence, dynamic light scattering
107 (particle size) and ITC.

108 **2. Materials and Methods**

109 **2.1 Materials**

110 All Chemicals used were of analytical grade. Bovine β -lactoglobulin (β -
111 LG), bovine serum albumin (BSA), bichinchonic acid solution (BCA), copper
112 sulphate solution, DEAE Sepharose®, rennet, ovalbumin, tannic acid, tartaric
113 acid, potassium monophosphate, potassium diphosphate, sodium hydroxide,
114 sodium chloride, hydrochloric acid (32.5%), trifluoroacetic acid (TFA),
115 methanol, ethanol, methylcellulose, ammonium sulphate, acetonitrile,
116 catechin, epicatechin, gallic acid and type B gelatin from bovine skin were
117 purchased from Sigma-Aldrich (Dorset, UK). Flat sheet microfiltration
118 membranes (0.45 μ m), syringe driven PVDF Filters (0.45 μ m) were sourced
119 from Millipore Corporation (Bedford, UK). Protease N ‘Amano’ Enzyme
120 from Bacillus subtilis was purchased from Amano Enzyme Inc. (Nagoya,

121 Japan). Pasteurized Skimmed milk and Merlotred wine, La Chasse
122 Merlot(2012), from France (13% alcohol) were purchased from local stores.

123

124 **2.2 Preparation of sweet whey from skimmed milk and purification of** 125 **peptides**

126 Skimmed milk was heated to 35 °C in a water bath. Commercial rennet was
127 added at a concentration of 0.3 ml per litre of milk with gentle stirring for 2
128 minutes. Incubation took place for onehour at that temperature and then the
129 casein coagulum was cut in small squares to allow the remaining lactoserum to
130 drain out of it. Incubation was extended for 20 additional minutes and then the
131 coagulum was scooped and filtered to drain the most of the serum with the aid
132 of vacuum. The whey was centrifuged at 3200 RCF and filtered with 0.45µm
133 syringe driven filter to remove the last of the left over casein curds.

134 The sweet whey was fractionated to obtain a β-LG rich fraction and a fraction
135 containing CMP and β-LG following method developed in our group (Fig-S1)
136 based on a combination of adsorption and microfiltration(Welderufael,
137 Gibson, & Jauregi, 2012).Nitrocellulose microfiltration membrane was cut and
138 placed into the 150 ml ultrafiltration magnetically stirred Amicon cell. To
139 begin the purification process, 100 ml of whey (pH 6.4) and 10 ml of resin
140 were added to the cell and stirred for 10 min. The mixture was filtered through
141 the membrane, with the aid of positive pressure of air. The mixture was micro-
142 filtered to separate the non-adsorbed proteins from the adsorbed proteins. The
143 resin was washed with 10mM potassium phosphate buffer at pH 6.5. Adsorbed
144 proteins (β-LG and CMPs) were desorbed and eluted with known volume of

145 elution buffer, 10mM potassium phosphate buffer at pH 4.5. For an enriched
146 β -LG fraction without CMP, a hydrolysis step was introduced while proteins
147 were adsorbed to the resin (S1). Hydrolysis started after re-solubilising the
148 adsorbed proteins with a pH 7, 10mM potassium phosphate buffer, at 45°C.
149 Then, protease 'N' Amano enzyme was added to the mixture. After 2hrs,
150 hydrolysed CMPs were micro-filtered and finally, the non-hydrolysed protein
151 remaining, β -LG, was desorbed and eluted with 10mM potassium phosphate
152 buffer at pH 4.5 containing 0.5M NaCl. Total protein content was analysed by
153 BCA method, and HPLC was used for qualitative analysis of proteins.

154 **2.3 Total protein content**

155 Total proteins were quantified according to the bicinchoninic acid assay
156 (BCA). Briefly, 100 μ l of standard or sample was mixed with 2 mL of the
157 BCA working reagent (copper sulphate solution: BCA solution at a ratio of
158 1:50). The mixture was allowed to stand at 37 °C for 30 min, and then allowed
159 to cool at RT for 5 min. Finally, absorbance was read for each
160 sample/standard, at 562 nm within 8 minutes with water as a blank. Bovine
161 serum albumin was used as a standard for protein quantification.

162 **2.4 Whey protein analysis by HPLC**

163 The major whey proteins, (β -LG, alpha-lactalbumin, BSA) could be identified
164 using RP-HPLC, with a method adapted from Thoma et al., (2006). Samples of
165 known total protein content were filtered through a 0.45 μ m PVDF filter and
166 analysed in a Dionex HPLC with a P680 pump, ASI-100 automated sample
167 injector, thermostated column compartment TCC100, PDA-100 photodiode
168 array detector with C-18 column (250 x 4.6 mm). A gradient of solvent A

169 (0.1% TFA in water) and solvent B (0.08 % TFA in ACN) was utilised in the
170 following way: B 0-45% in 0-60 min, B 45-70% in 60-65 min, 70% B in 65-
171 75 min, and finally 0% B in 75-90 min. The column temperature was set at
172 40°C. The flow rate was 0.8 ml/min , injection volume was 50 µl and the
173 absorbance of the samples was monitored at 214 and 280 nm.

174 **2.5 Particle size measurement**

175 Dynamic light scattering was used to monitor the interaction between tannic
176 acid and protein, and to determine the size of the β-LG nanoparticles, using a
177 ZetasizerNano S (Malvern instrument, Malvern, UK), equipped with a 4mW
178 He-Ne laser (633nm). Before measurements, samples were filtered (0.23 µm)
179 and diluted (1:10 in the corresponding buffer of preparation). In another
180 experiment with the same equipment disposition, the size of complex formed
181 between tannic acid (0.2-1.2 mg/ml) and β-LG (0.1 and 0.5 mg/mL) was
182 monitored. Measurements were performed in triplicates at 25 °C, at an angle
183 of 90° from the incident beam.

184 **2.6 Protein treatments on wine**

185 4 mL of wine and 1 mL of protein solution were mixed in flasks by vortex;
186 final protein concentrations in the mixture were 0.1 and 0.5 mg/ml. The
187 protein concentrations were chosen based on previous screening (data not
188 shown) and commercially used concentrations in wine fining where for
189 example, maximum recommended dose of caseinate is 0.6 mg/ml (Guerrero,
190 Smith, & Bindon, 2013). Wine controls were diluted in the same manner as the
191 treated wine samples for their analyses. After 10 min samples were centrifuged

192 at 3200 RCF and their astringency was measured following the analytical
193 method described below and their phenolic chromatographic profiles obtained.

194 **2.7 Astringency assessment experiments**

195 An analytical method was used to determine astringency, based on the
196 precipitation of tannins by ovalbumin, adapted from Llaudy et al.(Llaudy,
197 Canals, Canals, Rozes, Arola, & Zamora, 2004). We modified the method by
198 reducing the volumes of standard needed for the preparation of the calibration
199 curve and wines samples. Solutions of tannic acid at 0.2- 1.0 g/L and solutions
200 of ovalbumin at 0.4-3.2) g/L wereprepared. To solubilise the standards, a
201 solution similar to wine in composition was prepared using 4.0 g/L tartaric
202 acid,95 g/L ethanol and 0.1M sodium hydroxide. For each tannic acid
203 concentration or wine sample, 200 µL of the sample were mixed with
204 increasing concentrations of ovalbumin inEppendorf tubes and vortexed.After
205 10 mins samples were centrifuged at 11700g for 10 mins. The resulting
206 supernatants (0.1 mL) were diluted with distilled water (4.9 mL). Finally,
207 absorbance was measured immediately at 280 nm in a quartz bucket with an
208 optical path of 10 mm; experiments were carried out at room temperature and
209 in triplicates.

210 **2.8 Phenolic profile of wines by HPLC**

211 Prior to analysis, wine samples were filtered through a 0.45 µm pore size
212 nylon membrane. Samples of 30µLwereinjected into the chromatographic
213 system consisting of a Hewlett-Packard 1100 series HPLC equipped with a
214 degasser, a quaternary pump and a photodiode array detector model (Agilent
215 Technologies). Separation was performed ina reversed-phase column Nova

216 Pak C18 (250 × 4.6 mm; 100 Å pore size; 5 µm particle size; 30 °C), equipped
217 with a Cyano guard column (4×3 mm) (Phenomenex). Two mobile phases
218 were employed for elution: A (water:MeOH:formic acid, 95:4.9:0.1 % v/v)
219 and B (acetonitrile:formic acid, 99.9:0.1, v/v), and the gradient was: 5% B
220 (min 0); 31% B (min 26); 50% B (min 34); 90% B (min 38) and 5% B (min
221 42). The flow rate was 0.8 mL/min. Each sample was run in triplicate and
222 excellent reproducibility was observed between runs. Diode array detection
223 proceeded at 280, 320, 365 and 520 nm. The presence of formic acid in the
224 elution solvents is needed to maintain the pH below 2.5, ensuring that
225 anthocyanins are present as a single species (flavyliumcation). Compounds
226 were identified by comparison of their retention times with spectra of pure
227 standards.

228 **2.9 Isothermal titration calorimetry**

229 To measure enthalpy changes associated with tannin–protein interactions, a
230 microcalorimeter ITC 200 (MicroCal, 22 Industrial Drive East, Northampton,
231 MA 01060 USA) was used. In a typical experiment, buffered gelatin or β-LG
232 solutions (0.2-1.0 mg/mL) were placed in the 206 µl sample calorimetric cell
233 and buffered tannic acid solutions (3-8.8 mg/mL) were loaded into the
234 injection syringe, at 298 K. Tannins were titrated into the sample cell as a
235 sequence of 33 injections of 1.2 µl. To allow equilibration, 250 sec separated
236 successive injections. The contents of the sample cell were stirred throughout
237 the experiment at 800 rpm to ensure thorough mixing. The pH was checked
238 after the preparation of the solutions. Both titrate and titrant solutions were
239 degassed for 10 min to prevent bubbles from affecting measurements, the

240 weight of the solutions was controlled after degassing, ensuring acetate buffer
241 did not evaporate. Measurements were carried out in duplicates and raw data
242 were obtained as a plot of heat ($\mu\text{Cal}/\text{sec}$) against time and featured a series of
243 peaks for each injection. Control experiments included the titration of buffered
244 tannin solutions into buffer, buffer into protein and buffer into buffer. The last
245 two controls resulted in small and equal enthalpy changes for each successive
246 injection of buffer and were neglected. Corrected data refer to experimental
247 data after subtraction of the tannin into buffer control data and, finally,
248 Microcal ORIGIN software was used for the iterative curve fitting of the
249 binding isotherms.

250 **2.10 Methylcellulose assay**

251 The tannin content in untreated and treated red wine was determined using the
252 methyl cellulose precipitation (MCP) assay (Mercurio & Smith, 2008). Red
253 wine was treated with β -LG and a mixture of β -LG and CMPs at 0.1 and 0.5
254 mg/ml, centrifuged and supernatant analysed for tannin concentrations. The
255 treated and untreated wine samples (25 μL) reacted with a solution in water of
256 300 μL of 0.04% MCP (or pure water for the control mixture), shaken slightly
257 and allowed to stand for 3 min. A saturated ammonium sulfate solution (200
258 μL) was added to the mixture and water was added to a final volume of 1000
259 μL . The mixture was shaken slightly, allowed to stand for 10 mins at room
260 temperature and finally, centrifuged at 10000 rpm for 5 min. Then, the
261 absorbance of the supernatant was measured at 280 nm (A_{280} nm). Aqueous
262 (-)-epicatechin solutions (10, 25, 50, 75, 100, 150, 200, and 250 mg/L)
263 were used to prepare the calibration curve. Tannin concentration was calculated

264 from the difference between the A280 nm of the control and A280 of red wine
265 samples. Total tannin values were reported in mg/L epicatechin equivalents
266 (EE).

267 $A_{280\text{tannin}} = A_{280\text{control}} - A_{280\text{supernatant}}$

268 $\text{tannin concentration (mg of EE/L)} = [\text{tannin}] \times \text{DF}$

269 where [tannin] is the tannin concentration calculated from the epicatechin
270 calibration curve and DF is the dilution factor [DF=40].

271 **2.11 Total polyphenols determination**

272 Folin-Ciocalteu's micro method as adapted for wine analysis by Waterhouse
273 (2009) using gallic acid as the standard was used to determine the phenolic
274 content. For the analysis, 20 μL of each calibration solution, treated red wine,
275 red wine and blank were placed in a cuvette, and 1.58 mL water and 100 μL of
276 Folin-Ciocalteu reagent were added, thoroughly mixed and allowed to stand
277 between 30 seconds and 8 minutes. Then, 300 μL of the saturated sodium
278 carbonate solution was added, mixed well and left at 20 °C for 2 h, after which
279 the absorbance of each solution was read at 765 nm using a
280 spectrophotometer. Results were expressed as gallic acid equivalents (mg
281 GAE/L).

282 **2.12 Fluorescence quenching**

283 The Fluorescence quenching measurements were carried out using a Perkin-
284 Elmer LS 5 fluorometer. Excitation and emission bandwidths were 10 nm. The
285 emission spectra were recorded from 290 to 450 nm. β -LG and tannic acid were
286 dissolved in 10 mM phosphate buffer, pH 3.5. Tannic acid (0-1.2 mg/ml) and

287 β -LG (0.1 and 0.5 mg/ml) solutions were filtered (0.45 μ m) and mixed to
288 measure the quenching of the protein. Each measurement was repeated in
289 triplicate and fluorescence quenching data was plotted as relative fluorescence
290 intensity ($RFI = F/F_0 \times 100$) against tannic acid concentration; where F_0 and F
291 are the fluorescence intensities before and after the addition of the quencher
292 (tannic acid) respectively. For the calculation of quenching constants, data was
293 plotted as a Stern-Volmer plot of F_0/F against $[Q]$ and the quenching constant
294 (K_{sv}) was calculated by linear regression.

295 **2.13 Statistical Analysis**

296 All experimental data were expressed as means \pm standard deviations (S.D.) of
297 duplicates or replicates. Statistical differences were evaluated using analysis of
298 variance (ANOVA) while the mean values were compared using a Turkey test.
299 All statistical analysis was performed using SPSS 16.0 (SPSS Inc.) at 95%
300 confidence level.

301 **3. Results and Discussions**

302 **3.1 Whey proteins production**

303 100 ml of sweet whey contained 970 mg total protein (9.70 mg/ml) as
304 determined by total protein assay. Then 100 ml of whey was processed as
305 described in Fig-S1 and the two separated fractions analysed for total protein
306 and β -LG with the following results: (i) β -LG fraction contained 5.920 mg/ml
307 protein (β -LG purity 90-95%) (ii) β -LG-CMP fraction contained 6.495 mg/ml
308 protein (β -LG 75%).

309

310 3.2 Whey proteins and astringency

311 Theovalbumin precipitation method used for astringency estimation led to a
312 decrease in absorbance at 280nm due to the precipitation of tannins or tannic
313 acid which, was used as standard. The relationship between absorbance and the
314 ovalbumin concentration was logarithmic in agreement with the work by
315 Llaudy et al.(2004). The slope of the logarithmic equations increased with the
316 tannic acid concentration. The calibration curve obtained by plotting the tannic
317 acid concentration against the slope was linear with a regression coefficient of
318 0.998. Merlot wine was used for this work because it is one of the most
319 widespread red cultivars and, regarding its sensorial properties, quite an
320 astringent one. The astringency of the untreated red wine (0.156 mg/ml) was
321 within the reported range of values 0.112-0.566 mg/ml by Llaudy et al. (2004).
322 The addition of either the β -LG enriched sample (β -LG) or the CMP
323 containing sample (β -LG-CMP) led to a significant decrease in astringency of
324 the commercial red wine (20-22 %) at both protein concentrations studied
325 (Table 1). The β -LG-CMP was as efficient as the β -LG enriched sample which
326 suggests that CMP did not contribute significantly to the reduction in
327 astringency. Gelatin and β -LG reduced astringency similarly. However, at a
328 higher concentration, gelatin reduced astringency more than β -LG. Moreover
329 the astringency reduction observed here was comparable to that reported by
330 Guerrero et al.(2013) for potassium caseinate, a commercial fining agent,
331 which when added at 0.6 mg/ml led to a 19% astringency reduction in wine.

332 The transparent and bright colour of the red wine became cloudy after the
333 addition of the proteins, indicating complex formation between proteins and
334 polyphenols (Siebert, Troukhanova, & Lynn, 1996). Both hydrophobic

335 interactions and hydrogen bonding have been reported to contribute to
336 complex formation. Hydrophobic interactions were reported to be the driving
337 force for complex formation (Charlton, et al., 2002) while hydrogen bonding
338 stabilized the association. The structure and composition of the proteins and
339 polyphenols play a role in their interactions. Previously it has been
340 reported that proteins of different molecular weights interact at different
341 strength and with different selectivity towards different fractions of condensed
342 tannins (Cosme, Ricardo-da-Silva, & Laureano, 2009; Ricardo-Da-Silva,
343 Cheynier, Souquet, Moutounet, Cabanis, & Bourzeix, 1991). Various
344 polyphenols have also been reported to have different binding affinity for
345 different types of proteins as shown for bovine serum albumin and human α -
346 amylase albumin (Susana Soares, Mateus, & De Freitas, 2007). Tannins have
347 the highest affinity for proteins that are large, high in proline content and open
348 coiled such as gelatin and the lowest affinity for small globular proteins
349 (Bennick, 2002). However, β -LG, a small globular protein, was able to reduce
350 astringency similarly to gelatin (Table 1). In order to get a better insight into
351 the extent of interaction and selectivity of these proteins and polyphenols in
352 the wine the soluble polyphenols were quantified in the treated wines.

353

354 **3.3 Total phenolic concentration**

355 The total soluble polyphenols before and after treatment of red wine were
356 expressed as mg of gallic acid equivalent (mg GAE/L). The untreated red wine
357 had a total polyphenol concentration of 2255 mg GAE/L, comparable to those
358 reported for the same variety (Landrault, Poucheret, Ravel, Gasc, Cros, &

359 Teissedre, 2001). All proteins at different concentrations decreased
360 significantly the phenols when compared to the untreated red wine (Fig 1).
361 Increasing the protein concentration had a minimum effect on soluble
362 polyphenols. β -LG reduced soluble polyphenol to a similar extent as gelatin at
363 0.1 mg/ml. Gelatin reduced the phenol content more than β -LG at the higher
364 concentration studied which is in agreement with the reduction in astringency
365 (Table 1).

366

367 **3.4 Effect of whey proteins on tannin concentration**

368 Apart from the astringency method, that provides tannic acid equivalents,
369 tannin content was also quantified by a precipitation-based method with
370 methyl cellulose. Tannin concentration of the untreated wine was 1787 mg/l as
371 epicatechin equivalents, within the range (1450-2003 mg/L as epicatechin
372 equivalent) reported by Mercurio et al.(2008). The same trend was observed as
373 with the total phenols method: at both protein concentrations, the tannin
374 concentration after treatment with β -LG was significantly reduced; the tannin
375 concentration was further reduced by an increase in protein concentration;
376 gelatin on the other hand, reduced tannins more than the whey proteins at the
377 highest concentration. The values estimated by MCP are somewhat higher
378 than the values estimated by the ovalbumin precipitation assay but there was a
379 positive and good correlation between the two methods ($r^2 = 0.921$).
380 Good correlation between the methods was expected as both rely on the
381 precipitation of tannins upon addition of a polymer. The coefficient of

382 variation in both methods was within the normal range (< 10%), hence showed
383 good reproducibility.

384 **3.5 Identification of phenolic compounds by HPLC**

385 It is important that in reducing the astringency polyphenol components with
386 health attributes are kept in solution, therefore, the selectivity of polyphenol
387 precipitation was assessed by HPLC. The main groups of phenols and
388 individual phenols identified were: benzoic acids (gallic acid); flavan-3-ols
389 (catechin and epicatechin); and anthocyanins. Fig 2a and 2b show the HPLC
390 profile of polyphenols in treated and untreated red wine. Interestingly the
391 profile did not change after treatment with proteins, implying that proteins
392 reduced astringency without altering the monomeric polyphenol profiles of the
393 wine. Therefore these results suggest that all the tested proteins interacted and
394 formed complexes with the higher molecular weight or polymeric phenols
395 rather than the monomeric flavan-3-ols; similar result was previously reported
396 for gelatin (Ricardo-Da-Silva, Cheynier, Souquet, Moutounet, Cabanis, &
397 Bourzeix, 1991). Further analysis of the data of gallic acid, catechins and
398 epicatechins (Table 2) show that β -LG did not reduce as much as gelatin the
399 catechin in solution; gelatin at both concentrations reduced catechin
400 concentration in solution significantly. All proteins at the highest
401 concentration reduced significantly the concentration of epicatechin in
402 solution. Thus although gelatin and β -LG show similar selectivity for
403 polyphenols the latter did not remove as much catechin, an important
404 polyphenol with beneficial health attributes, from solution.

405

406 **3.6 Particle size determination**

407 DLS provides information on the particle size and the polydispersity of the
408 samples. Increasing concentration of tannic acid (0.2-1.2 mg/ml) to β -LG (at
409 pH 3.5) led to an increase in particle size (10-500 nm). At tannic acid
410 concentration of about 0.3 mg/ml (the equivalent concentration measured in
411 the wine) particles of about 200 nm were formed. However wine contains
412 larger MW tannins which will be more reactive than tannic acid and larger
413 particles would be formed which resulted in precipitation. The above
414 observations on particle sizes are in agreement with the model postulated by
415 Siebert et al (1996); a protein is considered as having a fixed number of sites
416 to which a tannin can bind and a tannin is thought as having two (or more)
417 ends that can bind to protein. When there is equal protein binding sites
418 as polyphenol binding ends, large colloidal particles will form and maximum
419 precipitation will occur; in the case of excess protein to tannin, small particles
420 and less haze will occur because of insufficient tannin to bridge many protein
421 dimers and in the case of excess tannin to protein, small particles and less haze
422 will also occur because all sites of the protein are occupied hence at one end
423 polyphenol finds a site to attach but at other end no protein is available for
424 attachment.

425

426 **3.7 Analysis of protein traces in wines after treatments**

427 The presence of residual protein was assessed to avoid any risks posed to
428 allergenic individuals. This is particularly important when using fining agents
429 with allergic potential as under a new EC directive since 2012 wine

430 manufacturers must label wines containing more than 0.25 mg/L of potentially
431 allergenic residues of fining agent proteins (Deckwart, Carstens, Webber-Witt,
432 Schaefer, Eichhorn, Schroeter, et al., 2014). β -LG was dissolved in synthetic
433 wine at 0.4 mg/ml and analysed by HPLC. There was no interference in the zone
434 of the β -LG (genetic forms A and B, retention time 61 min) caused by wine's
435 polyphenols (retention time 7 - 45 min) while using the proposed method (Fig
436 S2). Finally, in the treated sample no signal at all could be detected around the
437 61 min retention time of the protein. This is important as it shows that after
438 fining of the wine with the protein and subsequent filtration no allergenic
439 residues remain which is in agreement with findings by Deckwart et al
440 (2014) where they found that treatment of wine with ovalbumin and subsequent
441 filtration resulted in ovalbumin concentration lower than the threshold level
442 (0.25 mg/L).

443

444 **3.8 Interactions of model tannins with proteins**

445 To gain a better insight into the protein-phenolic interactions that produce the
446 observed astringency reducing effects and to try to better assess the selectivity
447 of our treatment towards phenolics, fluorescence and isothermal titration
448 calorimetry techniques were applied. The fluorescence emission spectrum was
449 obtained for a β -LG solution (0.1 mg/ml) at pH 3.5 (Fig S3), and it was found
450 that increasing the tannic acid concentration decreased the fluorescence
451 intensity of the protein. Therefore, there is a significant quenching effect
452 caused by tannic acid, indicating that it binds to the protein, which is in
453 agreement with our findings on astringency reduction. There was no

454 significant shift in the emission spectra. This implies that whatever the
455 mechanism of interaction, the molecular conformation of the protein was not
456 altered and no other change in the immediate environment of the tryptophan
457 residues occurred (Papadopoulou, Green, & Frazier, 2005).

458 The raw data for quenching of β -LG by addition of tannic acid is shown in
459 Fig.3, plotted as RFI (the ratio of fluorescence intensity, F/F_0 ; where F_0 and F
460 are the fluorescence intensities before and after addition of the tannic acid
461 respectively) against tannic acid concentration. At the maximum tannic acid
462 concentration studied protein fluorescence quenching was 70% and 80%
463 approximately at 0.1 and 0.5 mg/ml β -LG respectively. Interestingly at the
464 equivalent tannic concentration in the wine (about 0.3 mg/ml) a very
465 significant quenching was observed at both protein concentrations.

466 The rate of quenching observed here is in agreement with that reported
467 by Soares, Mateus and De Freitas (2007), who discovered a rapid quenching of
468 BSA by tannic acid and suggested that this might be due to the high molecular
469 weight of tannic acid components (commercially, tannic acid is acquired as a
470 mixture of compounds as we could prove by HPLC analysis in previous work,
471 unpublished) which is an attribute for good affinity for complex formation
472 with proteins.

473 The Stern-Volmer plots (F_0/F vs [TA]) show a linear relationship between
474 tannic acid concentration and fluorescence data for both β -LG concentrations:
475 (i) 0.1 mg/ml: $y = 1.9598x + 1.0465$, $R^2 = 0.9938$; (ii) 0.5 mg/mL: $y =$
476 $3.6253x + 1.0014$, $R^2 = 0.9615$; where the slopes are equal to Stern-Volmer
477 constant, K_{sv} . As expected the quenching constant was higher for β -LG 0.5

478 mg/ml . The linear relationship implies a simple collision quenching
479 mechanism(Papadopoulou, Green, & Frazier, 2005).Overall the fluorescence
480 results show that there was high binding affinity for β -LG and the drastic
481 reduction in RFI at tannic acid to protein ratio equivalent to those in the
482 treated wines confirms the mechanism of complex formation of tannins with
483 protein and their subsequent precipitation.

484

485 Further evaluation of the interactions of tannic acid with β -LG and with
486 gelatin was carried out by ITC.This calorimetry technique that measures the
487 heat produced/used upon binding of moleculeshas been previously used for
488 measuring interactions between proteins and tannins (McRae, Falconer, &
489 Kennedy, 2010; Prigent, Gruppen, Visser, van Koningsveld, de Jong, &
490 Voragen, 2003; Yuksel, Avci, & Erdem, 2010)as it is extremely sensitive and
491 the detection it provides is universal. The binding isotherm for the interaction
492 of tannic acid with β -LG was plotted as changes in enthalpy versus
493 tannin:protein molar ratio.Interestingly, in spite of the appreciable interaction
494 that could be measured by fluorescence, no clear heat signal related to binding
495 could be observed for β -LG (0.2 mg/mL) titrated with different concentrations
496 of tannic acid (3mg/mL, 8.8 mg/mL), which indicates a low binding affinity.
497 Being a globular protein, β -LG represents proteins displaying low binding
498 affinity for monomeric flavanolswhen compared againstBSA, gelatin B, and
499 β -casein.From the fluorescence and ITC results of β -LG it can be deduced that
500 tannins coat the surface of the protein which promotes aggregation of the
501 protein molecules and their subsequent precipitation.

502 On the other hand gelatin interacted strongly with tannic acid (Fig 4). The n-
503 values obtained when data was fitted to a binding model of one set of sites
504 suggested a high stoichiometry of tannin to protein. The binding stoichiometry
505 of (tannic:gelatin) was in the range of 7:1 and the equilibrium binding
506 constants (K) for the interactions of tannic acid (3mg/mL) with gelatin
507 (0.2mg/mL) was about $2.2 \times 10^4 \text{M}^{-1}$, Figs 4A and C. These values were of the
508 same order as those obtained when the gelatin solution was titrated with a
509 solution of tannic acid of higher concentration (8.8 mg/mL). In this case, the
510 mixture reached the equilibrium faster, Fig4B and D. However, the amount of
511 tannin needed to titrate the same quantity of available protein in the cell, was
512 the same, about 53.4 mg of tannin per 41.2 mg of protein. Because of the high
513 viscosity brought on by the gelatin in solution and the one caused by the
514 tannin-gelatin complexation, the thermodynamic equilibrium between
515 injections was slowed, and equilibration times had to be increased.

516

517 Finally, the interactions of (+)-catechin, with both proteins were measured.
518 Again, no clear heat signals related to binding could be observed for β -LG or
519 gelatin, which indicates low binding affinity.

520 The difference in affinity between gelatin and catechin and tannic acid is
521 likely to be due to a molecular weight effect. Sarni-Manchado et al.
522 (1999) reported that protein binding of polymeric condensed tannins was
523 stronger than that of low molecular weight oligomers and monomers. Indeed,
524 higher molecular weight tannins precipitate proteins more readily than
525 monomeric flavonoids, because they are able to bind more strongly to them.

526 These findings are in agreement with those obtained by the HPLC analysis of
527 wines (Table2) where it was found no significant reduction of catechin by
528 whey protein samples although a small reduction by gelatin.

529

530 **Conclusions**

531 Whey proteins (β -LG and CMP- β -LG) reduced astringency in wine as
532 efficiently as gelatin which is commercially used in the astringency treatment
533 of wine. Only at the highest concentration gelatin was more efficient than β -
534 LG. The molecular weight, and the proline concentration at an increased
535 protein content probably accounted for the greater reduction in astringency by
536 gelatin. Similar selectivity for polyphenols was observed for β -LG and gelatin
537 but β -LG treatment did not lead to a significant reduction of catechin
538 whereas gelatin reduced it significantly. The fluorescence, size and ITC
539 measurements confirmed that β -LG interactions with tannins were not as
540 strong as with gelatin. From these results an improved understanding of the
541 mechanism of interactions of these proteins with tannins was obtained which
542 suggested that β -LG interacted mainly via hydrophobic interactions and
543 hydrogen bonding with tannins; tannins covered exposed hydrophobic areas of
544 the protein and this led to its aggregation and precipitation. Overall these
545 results showed whey proteins could be a potential treatment agent for red
546 wines in the winery as they are as efficient as gelatin but are slightly more
547 selective towards polymeric polyphenols which results in a wine that
548 maintains some of the main monomeric polyphenols with health attributes

549 unchanged. Ultimately sensory analysis will have to be carried out to confirm
550 these results.

551

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661 **Tables**

662

663 **Table 1. The effect of Whey proteins on the astringency of red wine.**

Treatments	Concentration mg/ml	Slope	Astringency (mg/ml Tannic acid equivalent)	CV %
Control	-	0.032	0.156±0.01 ^{a,A}	1.5
β-LG	0.1	0.016	0.124±0.01 ^c	0.8
	0.5	0.015	0.122±0.01 ^B	0.7
β-LG-CMP	0.1	0.016	0.124±0.01 ^c	0.4
	0.5	0.017	0.126±0.01 ^B	0.9
Gelatin	0.1	0.022	0.136±0.01 ^b	2.4
	0.5	0.010	0.115±0.01 ^C	7.2

664 Data were expressed as mean ± SD (n=3)

665 Different lowercase letters for 0.1 mg/ml protein concentration indicate
666 significant difference (p<0.05) in astringency against untreated wine (control).

667 Different uppercase letters for 0.5 mg/ml protein concentration indicate
668 significant difference (p<0.05) in astringency against untreated wine.

669 Coefficient of Variation (CV %).

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689 **Table 2. The effect of Whey proteins on selected phenolic in red wine.**

Treatmen ts	Concentration mg/ml	Gallic acid mg/ml	Catechin mg/ml	Epicatechin mg/ml	Anthocyanins %
Control	-	31.06±0.78 ^{b,A}	15.75±1.39 ^{b,A}	23.25±3.35 ^{a,A}	100 ^{e,B}
β-LG	0.1	32.08±0.40 ^a	16.33±0.46 ^a	21.25±1.87 ^a	104.76±3.60 ^a

	0.5	30.96±0.10 ^A	13.82±0.50 ^A	19.29±3.39 ^B	112.69±5.71 ^A
β-LG-	0.1	31.21±0.33 ^{ab}	16.60±1.32 ^a	21.61±1.96 ^a	105.05±3.98 ^{ab}
CMP	0.5	30.67±0.25 ^A	14.38±0.13 ^A	20.88±0.47 ^B	111.23±3.32 ^A
Gelatin	0.1	30.24±0.44 ^b	11.86±0.17 ^c	21.99±0.89 ^a	111.476±4.57
	0.5	30.65±0.20 ^A	12.70±0.33 ^B	16.44±0.24 ^B	106.18±2.34 ^A

690 Data were expressed as mean ± SD (n=3)

691 Different lowercase letters for 0.1 mg/ml protein concentration indicate
692 significant difference (p<0.05) polyphenol against untreated wine (control).

693 Different uppercase letters for 0.5 mg/ml protein concentration indicate
694 significant difference (p<0.05) polyphenol against untreated wine.

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698 Table 3-Influence of tannic acid concentration on the average particle size of
699 BLG-tannic acid complex; the tannic acid to protein molar ratio ranged from 4
700 to 25. The data represents Mean ± SD of three replicates.

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Tannic acid	Average Particle size
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mg/ml	nm
0.2	32.43±11.67
0.4	168.67±4.16
0.6	198.00±4.36
0.8	328.00±19.52
1.0	409.00±12.12
1.2	493.33±4.73

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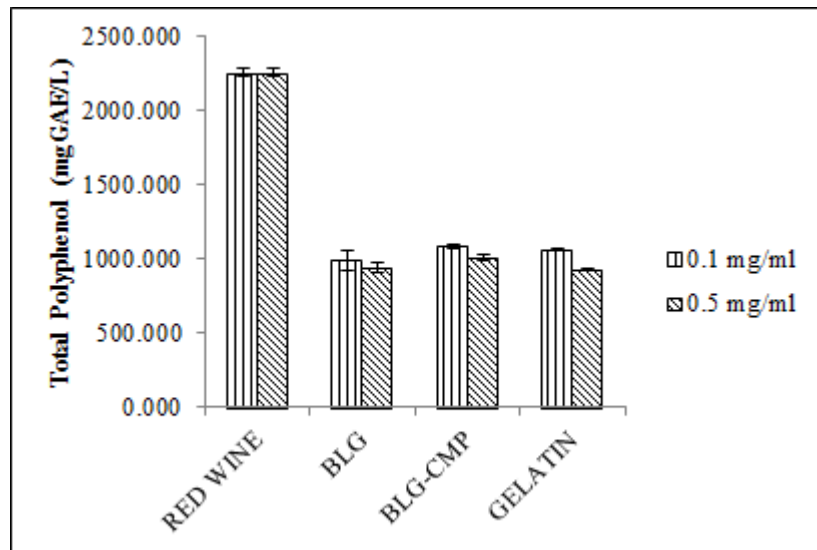
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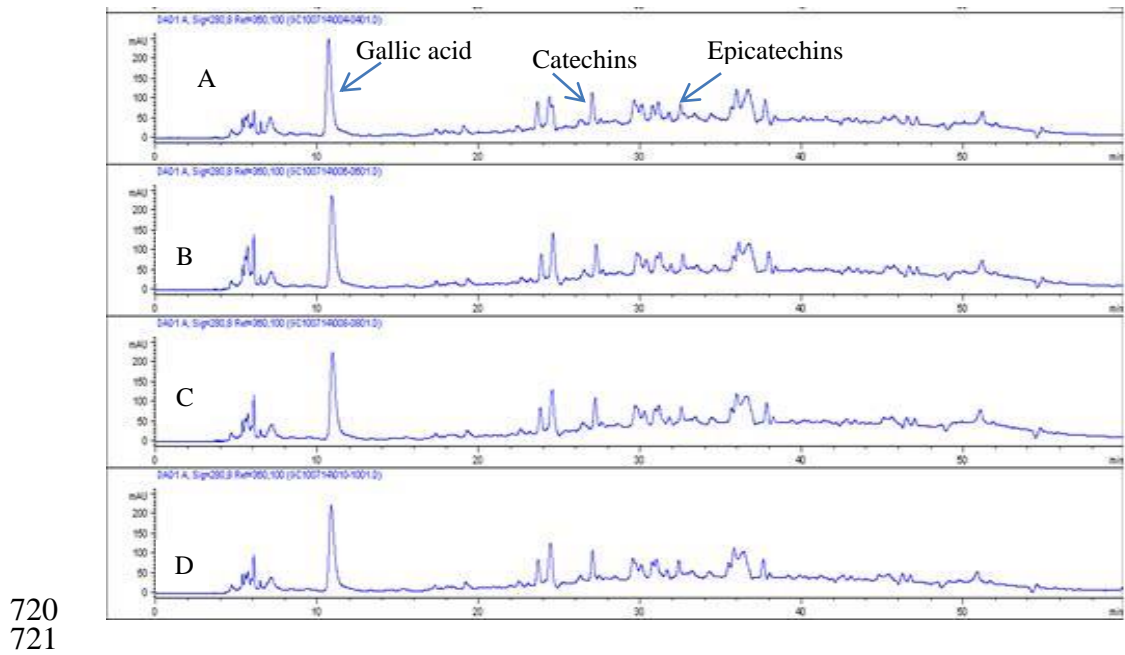
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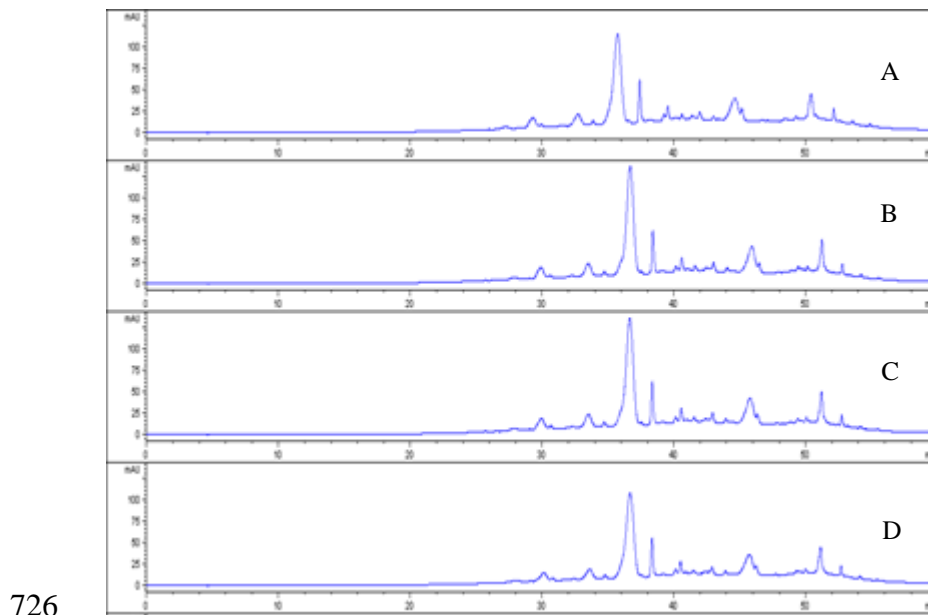
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714 **Fig 1.** Phenol content (mg GAE/L) of red wine before and after treatment with
715 BLG, BLG-CMP and Gelatin. The data represents Mean±SD of three
716 replicates.

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722 **Fig 2a.** Representative HPLC Profiling of gallic acid, catechins and
 723 epicatechins as detected by UV at 280nm of Red wine treated with proteins:
 724 (A) Untreated Red wine (control) (B) BLG(C) BLG-CMP and (D).
 725 Gelatin. The data represents Mean \pm SD of three replicates.



727 **Fig 2b.** Representative HPLC Profiling of anthocyanins detected by UV at
 728 520nm of Red wine treated with proteins: (A) Untreated Red wine (control)

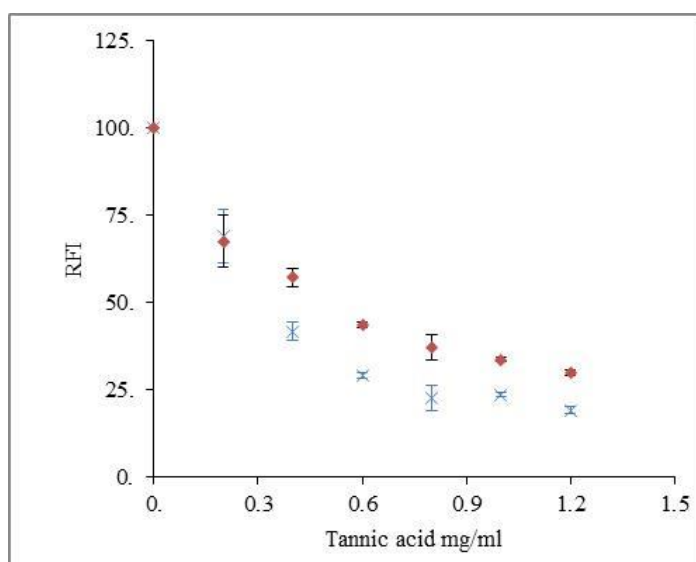
729 (B) BLG (C) BLG-CMP and (D). Gelatin. The data represents Mean \pm SD of
730 three replicates.

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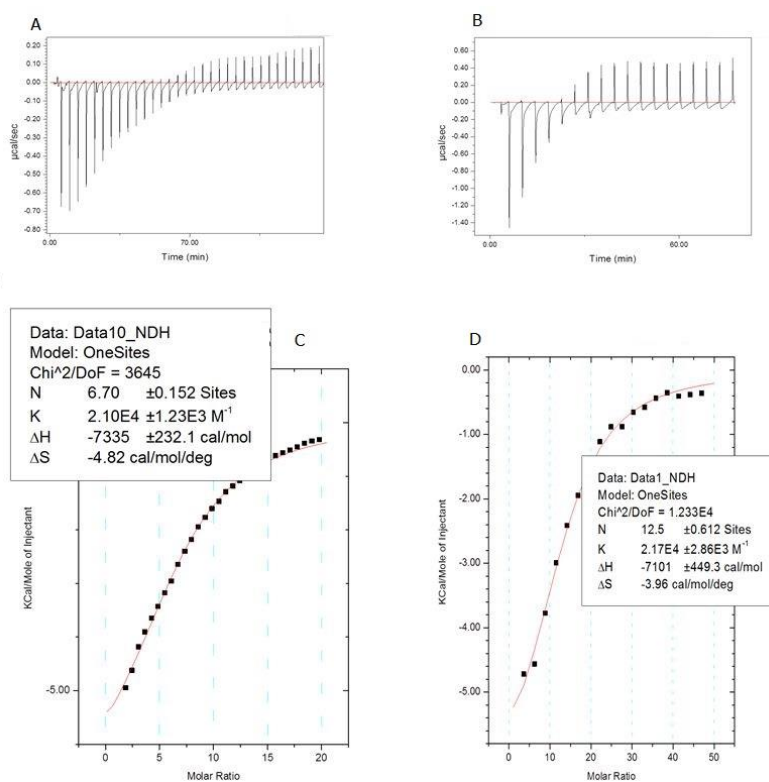
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739 **Fig 3.** Tryptophan fluorescence quenching of BLG fraction at pH 3.5 for
740 0.1mg/mL (o) and 0.5mg/mL (x) plotted as $RFI = (F/F_0 \times 100)$ against
741 Tannic acid concentrations. Fluorescence emission intensity was recorded at
742 excitation wavelength 280 nm and emission wavelength 354 nm.

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747 **Fig 4.** Thermogram A and fitting C correspond to titrations of tannic acid (3
748 mg/mL) into gelatin (0.2 mg/mL). Thermogram B and fitting D correspond to
749 titrations of tannic acid (8.8 mg/mL) into gelatin (0.2 mg/mL).

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